

OFFICE OF THE AUSTRALIAN INFORMATION COMMISSIONER

Section 1: Entity overview and resources

1.1 STRATEGIC DIRECTION STATEMENT

The Office of the Australian Information Commissioner (OAIC) is an Australian Government entity established under the *Australian Information Commissioner Act 2010*.

The functions of the OAIC are:

- Information Commissioner functions – performing strategic functions relating to information management in the Australian Government
- privacy functions – particularly ensuring proper handling of personal information in accordance with the *Privacy Act 1988* and other legislation
- freedom of information (FOI) functions – particularly protecting the public’s right of access to documents under the *Freedom of Information Act 1982*.

In 2018–19, the major areas of focus for the OAIC will be:

- preparing for commencement of the National Consumer Data Right, including working with the Australian Competition and Consumer Commission to develop rules and standards and produce guidance
- developing the privacy management capabilities of Australian Government agencies and businesses, and promoting privacy best practice
- developing the FOI capabilities of Australian Government agencies and ministers, promoting FOI best practice and engaging with the Open Government Partnership.

1.2 ENTITY RESOURCE STATEMENT

Table 1.1 shows the total funding from all sources available to the OAIC for its operations and to deliver programs and services on behalf of the government.

Table 1.1 is presented on a resourcing (that is, appropriations and cash available) basis, while the budgeted expenses by Outcome 1 table in section 2 and the financial statements in section 3 are presented on an accrual basis.

Table 1.1: Entity resource statement—Budget estimates for 2018–19 as at Budget May 2018

	2017–18 Estimated actual \$'000	2018–19 Estimate \$'000
DEPARTMENTAL		
Annual appropriations—ordinary annual services (a)		
Prior year appropriations available	1,062	2,040
Departmental appropriation	10,711	13,496
s74 retained revenue receipts (b)	3,021	2,170
Annual appropriations—other services—non-operating (c)		
Equity injection	–	860
Total departmental annual appropriations	14,794	18,566
Total departmental resourcing	14,794	18,566
Total resourcing for entity	14,794	18,566
	2017–18	2018–19
Average staffing level (number) (d)	75	93

Prepared on a resourcing (i.e. appropriations available) basis.

Note: All figures shown above are GST exclusive and may not match figures in the cash flow statement.

(a) Appropriation Bill (No. 1) 2018–19.

(b) Estimated retained revenue receipts under section 74 of the *Public Governance, Performance and Accountability Act 2013*.

(c) Appropriation Bill (No. 2) 2018–19.

(d) The average staffing level for 2018–19 includes a correction and new measure.

1.3 BUDGET MEASURES

Budget measures announced since the 2017–18 Mid-Year Economic and Fiscal Outlook (MYEFO) relating to the OAIC are detailed in Budget Paper No. 2 and summarised in Part 1 of Table 1.2.

Table 1.2: Entity 2018–19 Budget measures

Part 1: Measures announced since the 2017–18 Mid-Year Economic and Fiscal Outlook (MYEFO)

	Program	2017–18 \$'000	2018–19 \$'000	2019–20 \$'000	2020–21 \$'000	2021–22 \$'000
Expense measures						
Attorney-General's Portfolio— efficiencies	1.1					
Departmental expenses		(29)	(49)	(49)	(49)	(49)
National Consumer Data Right (a)						
Departmental expenses		–	2,779	3,178	3,036	3,058
Total expense measures		(29)	2,730	3,129	2,987	3,009
Capital measures						
National Consumer Data Right	1.1					
Departmental capital		–	860	–	–	–
Total capital measures		–	860	–	–	–

Prepared on a Government Finance Statistics (fiscal) basis.

Figures displayed as a negative represent a decrease in funds and figures displayed as a positive represent an increase in funds.

(a) The lead entity for this measure is the Department of the Treasury. The full measure description and package details appear in Budget Paper No. 2 under the Treasury portfolio.

Part 2: Other measures not previously reported in a portfolio statement

The OAIC has no new measures not previously reported in a portfolio statement.

Section 2: Outcomes and planned performance

Government outcomes are the intended results, impacts or consequences of actions by the government on the Australian community. Commonwealth programs are the primary vehicle by which government entities achieve the intended results of their outcome statements. Entities are required to identify the programs which contribute to government outcomes over the budget and forward years.

The OAIC's outcome is described below together with its related program.

Note

Performance reporting requirements in the Portfolio Budget Statements are part of the enhanced Commonwealth performance framework established by the *Public Governance, Performance and Accountability Act 2013*. It is anticipated that the performance criteria described in Portfolio Budget Statements will be read with broader information provided in an entity's corporate plans and annual performance statements – included in annual reports – to provide an entity's complete performance story.

The most recent corporate plan for OAIC can be found at <https://www.oaic.gov.au/about-us/corporate-information/key-documents/corporate-plan-201718>

The most recent annual performance statement can be found at <https://www.oaic.gov.au/about-us/corporate-information/annual-reports/oaic-annual-report-201617/part-2-performance>

2.1 BUDGETED EXPENSES AND PERFORMANCE FOR OUTCOME 1

Outcome 1: Provision of public access to Commonwealth Government information, protection of individuals' personal information, and performance of information commissioner, freedom of information and privacy functions

Budgeted expenses for Outcome 1

Table 2.1 shows how much the OAIC intends to spend (on an accrual basis) on achieving Outcome 1, broken down by program and departmental funding sources.

Table 2.1: Budgeted expenses for Outcome 1

	2017–18 Estimated actual \$'000	2018–19 Budget \$'000	2019–20 Forward estimate \$'000	2020–21 Forward estimate \$'000	2021–22 Forward estimate \$'000
Program 1.1: Complaint handling, compliance and monitoring, and education and promotion					
Departmental expenses					
Departmental appropriation	10,711	13,496	12,648	12,560	12,625
s74 retained revenue receipts (a)	3,896	2,170	–	–	–
Expenses not requiring appropriation in the budget year (b)	517	432	332	322	322
Departmental total	15,124	16,098	12,980	12,882	12,947
Total expenses for Outcome 1	15,124	16,098	12,980	12,882	12,947

	2017–18	2018–19
Average staffing level (number) (c)	75	93

Note: Departmental appropriation splits and totals are indicative estimates and may change in the course of the budget year as government priorities change.

(a) Estimated expenses incurred in relation to receipts retained under section 74 of the *Public Governance, Performance and Accountability Act 2013*.

(b) Expenses not requiring appropriation in the budget year are made up of depreciation and amortisation expenses and audit fees.

(c) The average staffing level for 2018–19 includes a correction and new measure.

Performance criteria for Outcome 1

Table 2.2 shows the performance criteria for each program associated with Outcome 1. It also summarises how each program is delivered. The OAIC's 2018–19 corporate plan will outline strategies for achieving its purpose and comprehensive performance measures.

Table 2.2: Performance criteria for Outcome 1

Outcome 1: Provision of public access to Commonwealth Government information, protection of individuals' personal information, and performance of information commissioner, freedom of information and privacy functions	
Program 1.1: Complaint handling, compliance and monitoring, and education and promotion	
Delivery	<ul style="list-style-type: none"> • Providing privacy complaint handling and FOI review and complaints services for the public. • Conducting assessments and investigations, and handling data breach notifications. • Developing the privacy and information access management capabilities of Australian Government agencies. • Developing the privacy management capabilities of businesses. • Promoting awareness and understanding of privacy and information access rights in the community, including the production of educational materials and a public information service. • Developing legislative instruments that are in the public interest.

Table 2.2: Performance criteria for Outcome 1 (continued)

Program 1.1: Complaint handling, compliance and monitoring, and education and promotion (continued)		
Performance information		
Year	Performance criteria	Targets
2017–18	<p>Handling privacy complaints.</p> <p>Conducting privacy Commissioner-initiated investigations (CIIs).</p> <p>Handling data breach notifications (DBNs).</p> <p>Providing an Information Commissioner review function.</p> <p>Handling FOI complaints.</p> <p>Conducting FOI Commissioner-initiated investigations (CIIs).</p> <p>Providing a public information service.</p>	<p>80% of privacy complaints are finalised within 12 months (on track to meet target).</p> <p>80% of privacy CIIs are finalised within eight months (on track to meet target).</p> <p>80% of voluntary DBNs are finalised within 60 days (on track to meet target).</p> <p>80% of My Health Record DBNs are finalised within 60 days (on track to meet target).</p> <p>80% of Information Commissioner reviews are completed within 12 months (on track to meet target).</p> <p>80% of FOI complaints are finalised within 12 months (on track to meet target).</p> <p>80% of FOI CIIs are finalised within eight months (on track to meet target).</p> <p>90% of written enquiries are finalised within 10 days (not on track to meet target).</p>
2018–19	<p>Handling privacy complaints.</p> <p>Conducting privacy Commissioner-initiated investigations (CIIs).</p> <p>Handling data breach notifications (DBNs).</p> <p>Providing an Information Commissioner review function.</p> <p>Handling FOI complaints.</p> <p>Conducting FOI Commissioner-initiated investigations.</p> <p>Providing a public information service.</p>	<p>80% of privacy complaints are finalised within 12 months.</p> <p>80% of privacy CIIs are finalised within eight months.</p> <p>80% of DBNs are finalised within 60 days.</p> <p>80% of My Health Record DBNs are finalised within 60 days.</p> <p>80% of Information Commissioner reviews are completed within 12 months.</p> <p>80% of FOI complaints are finalised within 12 months.</p> <p>80% of FOI CIIs are finalised within eight months.</p> <p>90% of written enquiries are finalised within 10 days.</p>
2019–20 and beyond	The performance criteria for Outcome 1 will be further developed.	
Purpose	To promote and uphold privacy and information access rights.	

Section 3: Budgeted financial statements

Section 3 presents budgeted financial statements that provide a comprehensive snapshot of entity finances for the 2018–19 budget year, including the impact of budget measures and resourcing on financial statements.

3.1 BUDGETED FINANCIAL STATEMENTS

3.1.1 Differences between entity resourcing and financial statements

There is no material difference between the entity resourcing and financial statements.

3.1.2 Explanatory notes and analysis of budgeted financial statements

The OAIC is budgeting for an underlying break-even operating result for the budget year and forward estimates after taking into account unfunded depreciation and amortisation expenses.

3.2 BUDGETED FINANCIAL STATEMENTS TABLES

Table 3.1: Comprehensive income statement (showing net cost of services) for the period ended 30 June

	2017–18 Estimated actual \$'000	2018–19 Budget \$'000	2019–20 Forward estimate \$'000	2020–21 Forward estimate \$'000	2021–22 Forward estimate \$'000
EXPENSES					
Employee benefits	10,242	10,572	8,962	9,015	9,230
Suppliers	4,398	5,127	3,719	3,578	3,428
Depreciation and amortisation	484	399	299	289	289
Total expenses	15,124	16,098	12,980	12,882	12,947
LESS:					
OWN-SOURCE INCOME					
Own-source revenue					
Sale of goods and rendering of services	3,021	2,170	–	–	–
Total own-source revenue	3,021	2,170	–	–	–
Gains					
Other	33	33	33	33	33
Total gains	33	33	33	33	33
Total own-source income	3,054	2,203	33	33	33
Net (cost of)/contribution by services	(12,070)	(13,895)	(12,947)	(12,849)	(12,914)
Revenue from government	10,711	13,496	12,648	12,560	12,625
Surplus/(deficit) attributable to the Australian Government	(1,359)	(399)	(299)	(289)	(289)
Total comprehensive income/(loss)	(1,359)	(399)	(299)	(289)	(289)
Total comprehensive income/(loss) attributable to the Australian Government	(1,359)	(399)	(299)	(289)	(289)

Note: Impact of net cash appropriation arrangements

	2017–18 \$'000	2018–19 \$'000	2019–20 \$'000	2020–21 \$'000	2021–22 \$'000
Total comprehensive income/(loss) excluding depreciation/amortisation expenses previously funded through revenue appropriations	(875)	–	–	–	–
Less depreciation/amortisation expenses previously funded through revenue appropriations (a)	484	399	299	289	289
Total comprehensive income/(loss) as per the statement of comprehensive income	(1,359)	(399)	(299)	(289)	(289)

Prepared on Australian Accounting Standards basis.

(a) From 2010–11, the government introduced net cash appropriation arrangements where Bill 1 revenue appropriations for the depreciation and amortisation expenses of non-corporate Commonwealth entities (and select corporate Commonwealth entities) were replaced with a separate capital budget (the departmental capital budget, or DCB) provided through Bill 1 equity appropriations. For information regarding departmental capital budgets, see Table 3.5 Departmental capital budget statement.

Table 3.2: Budgeted departmental balance sheet (as at 30 June)

	2017–18 Estimated actual \$'000	2018–19 Budget \$'000	2019–20 Forward estimate \$'000	2020–21 Forward estimate \$'000	2021–22 Forward estimate \$'000
ASSETS					
Financial assets					
Cash and cash equivalents	661	661	661	661	661
Trade and other receivables	2,108	1,656	1,204	1,204	1,204
Total financial assets	2,769	2,317	1,865	1,865	1,865
Non-financial assets					
Property, plant and equipment	1,254	1,967	1,870	1,754	1,638
Intangibles	787	554	371	217	44
Other non-financial assets	80	80	80	80	80
Total non-financial assets	2,121	2,601	2,321	2,051	1,762
Total assets	4,890	4,918	4,186	3,916	3,627
LIABILITIES					
Payables					
Suppliers	995	899	705	738	738
Other payables	85	–	–	–	–
Total payables	1,080	899	705	738	738
Non-interest bearing liabilities					
Lease Incentives	492	253	14	–	–
Total non-interest bearing liabilities	492	253	14	–	–
Provisions					
Employee provisions	1,771	1,771	1,771	1,771	1,771
Total provisions	1,771	1,771	1,771	1,771	1,771
Total liabilities	3,343	2,923	2,490	2,509	2,509
Net assets	1,547	1,995	1,696	1,407	1,118
EQUITY*					
Parent entity interest					
Contributed equity	2,013	2,873	2,873	2,873	2,873
Reserves	154	154	154	154	154
Retained surplus/(accumulated deficit)	(620)	(1,032)	(1,331)	(1,620)	(1,909)
Total parent entity interest	1,547	1,995	1,696	1,407	1,118
Total equity	1,547	1,995	1,696	1,407	1,118

Prepared on Australian Accounting Standards basis.

*‘Equity’ is the residual interest in assets after deduction of liabilities.

Table 3.3: Departmental statement of changes in equity—summary of movement (budget year 2018–19)

	Retained earnings \$'000	Asset revaluation reserve \$'000	Contributed equity/ capital \$'000	Total equity \$'000
Opening balance as at 1 July 2018				
Balance carried forward from previous period	(620)	154	2,013	1,547
Adjustment for changes in accounting policies	(13)	–	–	(13)
Adjusted opening balance	(633)	154	2,013	1,534
Comprehensive income				
Surplus/(deficit) for the period	(399)	–	–	(399)
Total comprehensive income	(399)	–	–	(399)
Of which:				
Attributable to the Australian Government	–	–	–	–
Transactions with owners				
Contributions by owners				
Equity injection—appropriation	–	–	860	860
Sub-total transactions with owners	–	–	860	860
Estimated closing balance as at 30 June 2019				
	(1,032)	154	2,873	1,995
Closing balance attributable to the Australian Government	(1,032)	154	2,873	1,995

Prepared on Australian Accounting Standards basis.

Table 3.4: Budgeted departmental statement of cash flows (for the period ended 30 June)

	2017–18 Estimated actual \$'000	2018–19 Budget \$'000	2019–20 Forward estimate \$'000	2020–21 Forward estimate \$'000	2021–22 Forward estimate \$'000
OPERATING ACTIVITIES					
Cash received					
Appropriations	10,711	13,496	12,648	12,560	12,625
Sale of goods and rendering of services	3,021	2,170	–	–	–
Net GST received	250	250	250	250	–
Other	2,330	367	452	–	–
Total cash received	16,312	16,283	13,350	12,810	12,625
Cash used					
Employees	10,700	10,572	8,962	9,015	9,230
Suppliers	5,097	5,692	4,369	3,776	3,395
s74 retained revenue receipts transferred to Official Public Account	2,040	–	–	–	–
Total cash used	17,837	16,264	13,331	12,791	12,625
Net cash from/(used by) operating activities	(1,525)	19	19	19	–
INVESTING ACTIVITIES					
Cash used					
Purchase of property, plant and equipment and intangibles	525	879	19	19	–
Total cash used	525	879	19	19	–
Net cash from/(used by) investing activities	525	879	19	19	–
FINANCING ACTIVITIES					
Cash received					
Contributed equity	–	860	–	–	–
Total cash received	–	860	–	–	–
Net cash from/(used by) financing activities	–	860	–	–	–
Net increase/(decrease) in cash held	(2,050)	–	–	–	–
Cash and cash equivalents at the beginning of the reporting period	2,711	661	661	661	611
Cash and cash equivalents at the end of the reporting period	661	661	661	661	611

Prepared on Australian Accounting Standards basis.

Table 3.5: Departmental capital budget statement (for the period ended 30 June)

	2017–18 Estimated actual \$'000	2018–19 Budget \$'000	2019–20 Forward estimate \$'000	2020–21 Forward estimate \$'000	2021–22 Forward estimate \$'000
NEW CAPITAL APPROPRIATIONS					
Equity injections—Bill 2	–	860	–	–	–
Total new capital appropriations	–	860	–	–	–
Provided for:					
Purchase of non-financial assets	–	860	–	–	–
Total items	–	860	–	–	–
PURCHASE OF NON-FINANCIAL ASSETS					
Funded internally from departmental resources (a)	525	19	19	19	19
Total purchases of non-financial assets	525	19	19	19	19
RECONCILIATION OF CASH USED TO ACQUIRE ASSETS TO ASSET MOVEMENT TABLE					
Total purchases	525	879	19	19	19
Total cash used to acquire assets	525	879	19	19	19

Prepared on Australian Accounting Standards basis.

(a) Includes funding from current Bill 1 appropriations and section 74 retained revenue receipts.

Table 3.6: Statement of asset movements (budget year 2018–19)

	Other property, plant & equipment \$'000	Computer software & intangibles \$'000	Total \$'000
As at 1 July 2018			
Gross book value	1,254	2,984	4,238
Accumulated depreciation/amortisation and impairment	–	(2,197)	(2,197)
Opening net book balance	1,254	787	2,041
CAPITAL ASSET ADDITIONS			
Estimated expenditure on new or replacement assets			
By purchase—appropriation equity (a)	860	–	860
By purchase—appropriation ordinary annual services (b)	19	–	19
Total additions	879	–	879
Other movements			
Depreciation/amortisation expense	(166)	(233)	(399)
Total other movements	(166)	(233)	(399)
As at 30 June 2019			
Gross book value	2,133	787	2,920
Accumulated depreciation/amortisation and impairment	(166)	(233)	(399)
Closing net book balance	1,967	554	2,521

Prepared on Australian Accounting Standards basis.

(a) 'Appropriation equity' refers to equity injections appropriations provided through Appropriation Bill (No. 2) 2017–18.

(b) 'Appropriation ordinary annual services' refers to funding provided through Appropriation Bill (No. 1) 2017–18 for other operational expenses.